

Morphological Classification of Heartbeats in Compressed ECG

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Abstract: The number of connected medical devices that are able to acquire, analyze, or transmit health data is continuously increasing. This has allowed the rise of Internet of Medical Things (IoMT). IoMT-systems often need to process a massive amount of data. On the one hand, the colossal amount of data available allows the adoption of machine learning techniques to provide automatic diagnosis. On the other hand, it represents a problem in terms of data storage, data transmission, computational cost, and power consumption. To mitigate such problems, modern IoMT systems are adopting machine learning techniques with compressed sensing methods. Following this line of research, we propose a novel heartbeat morphology classifier, called *RENEE*, that works on compressed ECG signals. The ECG signal compression is realized by means of 1-bit quantization. We used several machine learning techniques to classify the heartbeats from compressed ECG signals. The obtained results demonstrate that *RENEE* exhibits comparable results with respect to state-of-the-art methods that achieve the same goal on uncompressed ECG signals.

1 INTRODUCTION

Nowadays, the use of Internet of Medical Things (IoMT) systems for remote health monitoring is playing a pivotal role in improving both the effectiveness of medical devices and the accessibility to medical services (Hassanien et al., 2018). Remote health monitoring refers to a process where the patient’s health is continuously checked, thus allowing the identification and the prevention of diseases. To this aim, the use of wearable devices for continuous monitoring is receiving increasing interest from both the health services and the manufactures. For example, in the case of electrocardiogram (ECG) monitoring, several IoMT systems based on wearable devices have been proposed (Balestrieri et al., 2019; Wang et al., 2019).

With the spread use of IoMT systems, the complex and time-consuming steps of pre-diagnosis and diagnosis — usually manually performed by specialized medical staff — can be supported or undertaken by such systems. For this reason, in the recent years, several methods for the automatic detection of cardiac diseases from an ECG trace and, more specifically, automatic classification of heartbeats have been proposed (Mondéjar-Guerra et al., 2019; Kandala et al., 2019; Rajesh, 2018; Chen et al., 2017; David et al., 2011b; David et al., 2011a; Garcia et al., 2017; Xu et al., 2018; Mar et al., 2011). All these methods — when applied in contexts of long-term continu-

ous monitoring — require that the physical devices of the IoMT system continuously send the monitored data to a gateway or directly to a server. Since wearable devices are battery-powered and since they use a wireless connection to exchange data, it is very important to decrease the amount of transmitted data for reducing the energy consumption of the device and, as a consequence, increasing its battery life. (Balestrieri et al., 2020) proposed an ECG data acquisition system that performs data compression according to compressed sensing, a theoretical framework that exploits the sparsity of a signal in a specific domain without computationally load the physical device that performs the compression. The authors also showed that — by using such kind of systems — it is possible to reduce the number of transmitted data and increase the battery life by $\sim 12\%$, while keeping a good ECG signal reconstruction quality.

In this paper, we propose *RENEE* (heartbeats classification in compressed ECG), a novel method for the automatic classification of heartbeats that works on a compressed ECG signal, through the involvement of a method based on a 1-bit signal quantization (Picariello et al., 2021). The advantage of using *RENEE* with respect to others available heartbeat classifiers is that it allows reducing the signal data rate and performs the heartbeat classification directly on the quantized samples, therefore without reconstructing the signal waveform. Especially, *RENEE* was de-

signed to be used in an IoMT system based on wearable devices, where it is needed to reduce the data transmitted by the physical device and to automatically classify the heartbeats, without analyzing the signal waveform. In such a context, using a compressed signal is beneficial both in terms of data rate and memory occupied.

We evaluated *RENEE* on a public data set, the MIT-BIH Arrhythmia Database, a reference point in the literature. The evaluation has respected the recommended practice provided by a well-known standard, the ANSI/AAMI EC57:1998¹. The achieved results provide evidence that *RENEE* allows to keep a comparable overall accuracy — in the classification of heartbeats — with respect to state-of-the-art methods that works with the full (*i.e.*, uncompressed) original ECG signal. Indeed, one of the best approaches from the literature shows a global accuracy of 0.947 while *RENEE* achieves 0.94 in the compressed domain.

The remainder of the paper is structured as follows. Section 2 provides details about the recent state of the art on (i) the morphological automatic classification of heartbeats and (ii) the compression algorithms applied to ECG signals. Section 3 presents *RENEE*, our novel approach for the morphological classification of heartbeats in the compressed domain. Section 4 and Section 5 reports on the design and the results of the empirical study that we conducted to evaluate *RENEE*. Finally, Section 6 concludes the paper and provides suggestions for possible future research directions.

2 BACKGROUND AND RELATED WORK

This section provides details on (i) the automatic classification of ECG through machine learning techniques and (ii) approaches proposed for ECG compression.

2.1 Heartbeat Classification

In the last years, several methods have been proposed for the automatic classification of ECG heartbeats (Mondéjar-Guerra et al., 2019; Kandala et al., 2019; Rajesh, 2018; Chen et al., 2017; Garcia et al., 2017; Xu et al., 2018; Mar et al., 2011). Most of them have shown good results. They all dealt with the full ECG, *i.e.*, without any type of compression. In addition, most of them involve complex algorithms,

¹American National Standard prepared by the Association for the Advancement of Medical Instrumentation.

i.e., that need high-computational cost for the creation of the features. In details, these approaches classify each heartbeat in five output categories: normal beat (N), ventricular ectopic beat (V), supraventricular ectopic beat (S), fusion of a normal and a ventricular ectopic beat (F) and unknown beat type (Q). Also, they have been validated on the public MIT-BIH arrhythmia database².

(Mondéjar-Guerra et al., 2019) proposed a method for the automatic classification of ECG based on the combination of multiple Support Vector Machines. The method relies on the time intervals between consequent beats and their morphology for the ECG characterization. Several features based on wavelets, local binary patterns (LBP), higher order statistics (HOS) were employed. The designed methodology approach was tested classifying four kinds of abnormal and normal beats. The authors achieved an overall accuracy of approximately 0.945 and, in some cases, they have obtained better results than the related state of the art approaches.

(Kandala et al., 2019) presented an inter-patient heartbeat classification algorithm. The foundation of this work is based on the consideration that the ECG is a non-stationary, non-Gaussian signal derived from nonlinear systems (Rajesh, 2018). Therefore, the authors employed a decomposition method, namely improved complete ensemble empirical mode decomposition (ICEEMD), to obtain features from the ECG beats. Then, nonlinear measures such as entropies and higher-order statistics (HOS) were determined from the modes obtained after ICEEMD. These were used as features for the discrimination of the heartbeats. To handle with class unbalance, the authors employed a type of ensemble classification based on a majority voting scheme. Finally, the authors demonstrated good improvement — with respect to the state of the art methods — especially for the minority classes.

(Garcia et al., 2017) proposed a heartbeat representation, called the temporal vector-cardiogram (TVCG), and an optimized feature extraction process with complex networks and particle swarm optimization (PSO). The authors show that their method presents an overall accuracy in the classification equal to 0.924.

(Xu et al., 2018) proposed an end-to-end method with a deep neural network (DNN) for both feature extraction and classification based on aligned heartbeats. This method avoids any further elaboration for the features creation and produces optimized ECG representation for heartbeat classification. The overall working principle of this approach can be resumed as follows: the system buffers raw single lead ECG sig-

²<https://physionet.org/content/mitdb/1.0.0/>

nals — at one end — and produces heartbeat classification, at the other end. The pre-processing concerns with the selection of heartbeats from continuous ECG signals. In the proposed approach a heartbeat signal is represented by a segment of the ECG that comprises the consecutive sample points of a complete heartbeat cycle, which includes not only the QRS complex but also the P and T waves. The Neural Network (NN) is used for both feature extraction and classification, which are achieved by the lower part and the upper part of the network, respectively. Two steps must be performed in order to extract fixed-length feature vectors from raw ECG signals: (i) heartbeat segmentation and (ii) heartbeat alignment. To the best of our knowledge, the approach proposed by (Xu et al., 2018) represents one of the best approaches presented in the state of the art with an overall accuracy of 0.947 in the 5-class classification. For this reason, we used such an approach as baseline in the evaluation of *RENEE*.

2.2 ECG Compression

In the literature, several compression methods of ECG signals have been proposed with the aim of reducing the data rate of the IoMT physical device and its energy consumption. The proposed approaches can be classified in hardware-based methods and digital-based methods (Picariello et al., 2021).

The hardware-based compression methods exploit the sparsity of the ECG signal in the time domain to design specific Analog-to-Digital Converter (ADC). According to (Picariello et al., 2021), the digital-based ECG compression methods can be classified in: (i) direct methods, (ii) parameter extraction methods, and (iii) transform domain methods.

The transform domain methods have gained significant attention due to their good capability of representing the ECG signal even at high compression ratios (Picariello et al., 2021). However, most of them require a high computational load to be implemented in real-time on data acquisition systems having low resources (Picariello et al., 2021).

Alternatively, Compressed Sensing (CS) has been proposed in the literature for ECG data compression (Picariello et al., 2021). The advantage of CS — as compared to other methods — relies in its capability of achieving performance comparable with the transform-domain methods, while moving the computational load from the data acquisition system to the node that receives the compressed samples. Thus, this solution has been widely used for implementing data compression on devices with constrained resources, such as wearable devices.

In some cases, the data rate reduction can be obtained by optimizing the resolution of the data, thus introducing a controlled quantization (Jha and Kolekar, 2018; Bera et al., 2019).

The aim of this paper is to apply a low-complexity compression algorithm based on a 1-bit quantization of the ECG signal and to assess the capability of some machine learning algorithms to successfully classify the heartbeat from the quantized samples. It is worth noting that the proposed classification approach operates directly on the compressed data and does not require a reconstruction of the ECG waveform before the classification. As compression algorithm, we have chosen a simple 1-bit quantization, as proposed by (Picariello et al., 2021). The algorithm is applied to heartbeat signals. During this phase, (i) the data is normalized, (ii) the dither is applied, and (iii) the 1-bit quantization is performed. With *normalization* we simply refer to the application of the formula

$$hbs_i = \frac{hbs_i - \min(hbs)}{\max(hbs) - \min(hbs)}$$

for each sample i of the heartbeat signal hbs ; in other words, we normalize the data between the minimum and the maximum value for every heartbeat signal. As a result, all the values will be in the interval $[0, 1]$.

The *application of dither* consists in applying a Gaussian dithering noise to the heartbeat signals with power σ . Thus, let be (i) hbs a heartbeat signal, (ii) ds the noising signal obtained by imposing $ds_i = \sigma \times \text{random}(0, 1)$ so that $|ds| = |hbs|$. The noised version of the original signal is given by $nhbs = hbs + ds$. Dither can be considered as a kind of noise, but it is typically and intentionally applied to randomize quantization error and thus to improve the next quantization step (Pohlmann, 1995).

Finally, *1-bit quantization* step performs a comparison with a pre-defined threshold γ : if a given sample value $nhbs_i$ exceeds the threshold γ , 1 is assigned to the output vector, while 0 is assigned otherwise. Formally, for each $nhbs_i$:

$$qhbs_i = \begin{cases} 1, & \text{if } nhbs_i \geq \gamma \\ 0, & \text{otherwise} \end{cases}$$

3 THE PROPOSED APPROACH

In this section, we present *RENEE*, a novel approach for the classification of heartbeats in the compressed domain. The proposed method is contextualized in an IoMT system and in an healthcare scenario, where a wearable device (transmitter) acquires an ECG signals and needs to send the acquired signal to a deci-

sion support system (receiver) for its automatic analysis.

The pre-processing stage is composed by an R-peak detection algorithm and a consecutive selection of a complete heartbeat signal. Once executed these steps, the further processing consists in the compression. Finally, the compressed data is provided as input to the machine learning classifier. This latter is in charge of providing the final *multi-class* classification on the heartbeat types. We provide more details about each step of our approach below.

3.1 Pre-processing of ECG Data

The pre-processing steps expected from our proposed approach are composed of an *R-Peak detector* and a *heartbeat selection technique*. Such steps may be performed on the transmitter device.

The *R-peak detector* is in charge of accurately evaluating the R-peak positioning in a single-lead ECG signal. For our purposes, we used the R-peak annotations available from the database but — for an online scenario — a R-peak detection algorithm has to be involved in *RENEE*, such as the Pan-Tompkins algorithm (Pan and Tompkins, 1985; Sedghamiz, 2014).

The *heartbeat selection technique* needs the R-peak positioning information provided by the previous algorithm in order to properly select the heartbeat. According to the chosen baseline, a heartbeat signal is defined as the samples included between two middle points of three successive R-peaks. In other words, a heartbeat is not computed as an ECG signal included between two R-peaks, but as a signal composed of (i) an individual QRS complex, and (ii) the previous and successive dynamics.

After all these steps, we compressed the data through the method proposed by (Picariello et al., 2021), based on a 1-bit signal quantization.

3.2 Features Creation & Classification

In order to create informative features for the classification stage, we first defined a windowed accumulation of samples by imposing a fixed window length *winLen*: given the *qhbs* signal, we define a new signal *whbs* so that $whbs_i = \sum_{j=i-winLen}^i qhbs_j$. In this way, *RENEE* is able to represent the dynamics of the original heartbeat signal in the compressed domain.

The classification component of *RENEE*—through the use of machine learning techniques— is in charge of providing the final classification of the heartbeat in five types, according to the AAMI standard (ANSI/AAMI-EC57, 1998): normal beat

(N), ventricular ectopic beat (V), supraventricular ectopic beat (S), fusion of a normal and a ventricular ectopic beat (F) and unknown beat type (Q).

The features we use for the automatic classification of the heartbeats are the samples of the final signal we obtained, *i.e.*, *whbs*. It is worth noting that the number of samples may vary among different heartbeats. However, the machine learning model, appointed for the classification of each heartbeat signal, needs the data to be aligned in terms of features across all the instances. Therefore, we needed to select a maximum number *D* of *whbs* samples to use as features. To do this, we used the same method used by (Xu et al., 2018), *i.e.*, we apply zero-padding and truncation in case the heartbeat signal contains less or more samples as compared to a fixed threshold *D*, respectively.

Figure 1 depicts an example of how the signal changes after each of the main stages of *RENEE*. The plot in the upper row shows the original signal waveform of a sample heartbeat. The second subplot shows the signal after the application of dithering noise. Then, the samples obtained by the 1-bit quantization procedure are depicted in the third subplot. Finally, the fourth line of plot shows the signal once applied the windowed accumulation of samples. Such a signal contains the features used by the machine learning method for the classification of the heartbeat.

4 EMPIRICAL STUDY DESIGN

The *goal* of this study is to evaluate the accuracy of *RENEE* in classifying heartbeat types from a highly compressed version of an ECG. The literature shows that the uncompressed trace of an ECG allows to obtain a very accurate classification (Xu et al., 2018). Thus, the study is steered by the following research question:

Can RENEЕ provide a heartbeat classification comparable to state-of-the-art methods based on uncompressed ECG?

The *perspective* of the study is both (i) of a researcher who wants to understand if machine learning techniques are able to classify heartbeat also in the compressed domain, and (ii) of a practitioner who wants to use a method in a telemedicine application that is able to balance accuracy and data storage and transmission.

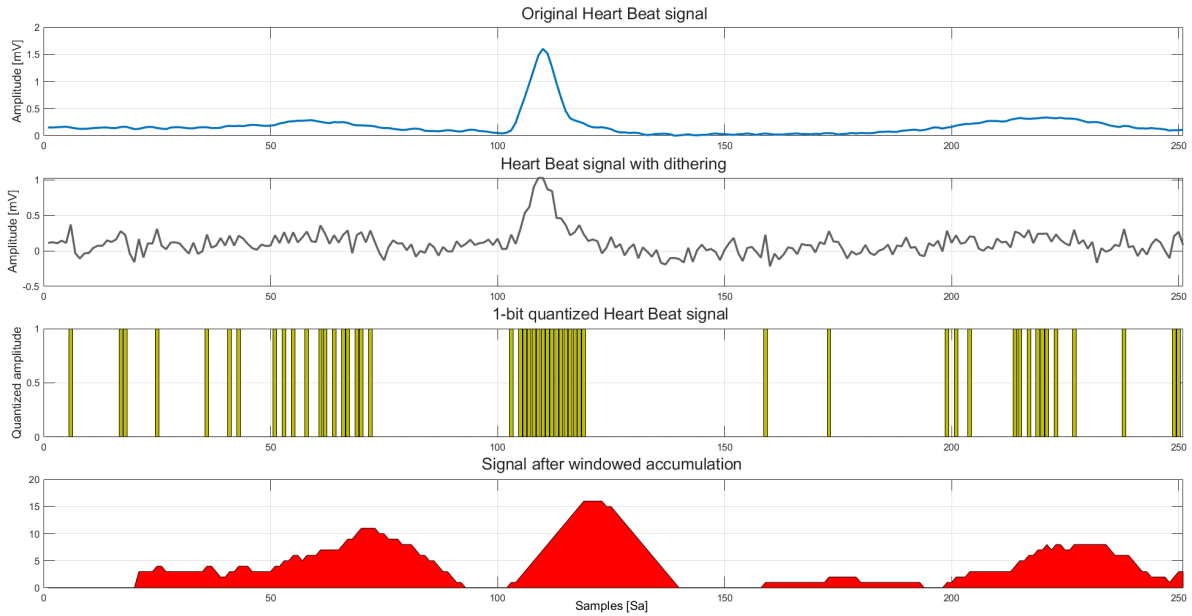


Figure 1: The four main steps performed by *RENEE* after the pre-processing stage: (1) the original heartbeat signal, (2) the signal after the pre-processing and noising, (3) the compressed signal through 1-bit quantization and (4) the final elaboration — applied to the compressed signal — that consists of a windowed accumulation of binary samples.

4.1 Context of the Study

The context of this study is represented by the MIT-BIH Arrhythmia Database (Moody and Mark, 2001; Goldberger et al., 2000), a commonly used benchmark which contains 48 half-hour two-channel ambulatory ECG recordings, obtained from 47 subjects. These ECG were digitized at 360 Hz with 11-bit resolution over a 10 mV range. Approximately 110,000 annotations are included in the database.

Each heartbeat is classified by using 15 different classes. These 15 types of heartbeat in the MIT-BIH arrhythmia database have been categorized in five classes, reported in (ANSI/AAMI-EC57, 1998).

In most of the recordings in the MIT-BIH database, the first channel is a modified limb lead II (MLII), and the second one is a modified lead V1. In our experiments, only the signal from the first channel was used for ECG classification because, typically, QRS complexes are usually prominent (Xu et al., 2018).

Finally, according to the AAMI recommendation (ANSI/AAMI-EC57, 1998), we removed from the dataset four recordings containing paced beats. The final dataset was composed of a total of 44 records.

4.2 Experimental Procedure

We experimented a large set of machine learning techniques to train the model embedded in *RE-*

NEE. In order to execute a complete experimentation, we chose at least one classifier from each category of classifiers available from the Weka machine learning toolkit (Hall et al., 2009), *i.e.*, J48 (Quinlan, 2014), Replication Tree (Devasena, 2014), Random Forest (Barandiaran, 1998), Logistic regression (Cramer, 2002), AdaBoost M1 (Freund and Schapire, 1997), BayesNet³, J48 (Cohen, 1995) and a 3-layer long short-term memory (LSTM) Neural Network (NN) (Lang et al., 2019). We chose the Long Short-Term Memory (LSTM) NN (instead of DNN, for example) because ECG signals are time series data and LSTM is capable of learning long-term dependencies (Xu et al., 2018). We have also included in our study several classifiers implemented in the Matlab Classification Learner app⁴. Basically, they are specific implementation of different categories of classifiers. Examples are the k-nearest neighbors (KNN)(Dasarathy, 1991) and the Support Vector Machine (SVM)(Noble, 2006).

The whole dataset, composed by 44 records, was split into two datasets, *i.e.*, DS1 and DS2: this allows to perform a patient-independent classification in which each patient appears either in the training or in the test set, but never in both of them. Each dataset contains approximately 50,000 beats from 22 recordings. We used DS1 as the training set and DS2 as the

³<https://bit.ly/3bYCFcR>

⁴<https://bit.ly/35oMcZ9>

test set. This is a consolidated procedure from the literature. Indeed, it was used in many previous works (De Chazal et al., 2004; Ye et al., 2012; Raj and Ray, 2018; Xu et al., 2018).

As for the parameters of *RENEE*, we used the configurations reported in Table 1 and determined with a trial & error approach—on a different data set—than the one used for the classification experiment.

We compare *RENEE* with the chosen baseline work, *i.e.*, a state-of-the-art method designed to automatically classify uncompressed ECG heartbeats with high accuracy (Xu et al., 2018).

To compare the approaches, we use several metrics. First, we use the accuracy, *i.e.*, the number of correctly classified instances divided by the total number of instances. Then, we use also some class-level metric—designed for a given class—among the ones we consider for our study *i.e.*, N, S, V, F. We list below the class-level metrics we compute for each class:

- **Precision_c**, *i.e.*, the number of correctly classified positive instances divided by the total number of instances classified as positive, computed as $\frac{TP}{TP+FP}$
- **Recall_c**, *i.e.*, the number of correctly classified positive instances divided by the total number of instances actually positive, computed as $\frac{TP}{TP+FN}$
- **F-Measure_c**, *i.e.*, the harmonic mean of precision and recall, computed as $\frac{2 \times \text{Precision}_c \times \text{Recall}_c}{\text{Precision}_c + \text{Recall}_c}$
- **AUC_c**, *i.e.*, the definite integral used as a measure of the two-dimensional area underneath the ROC curve.
- **MCC_c** (Matthews Correlation Coefficient), a very reliable statistical rate which returns a higher value the better are the four confusion matrix indicators: true and false positives, true and false negatives.

We report the comparison for the classes N, S, V, F. Similarly to other studies (De Chazal et al., 2004; Ye et al., 2012; Raj and Ray, 2018; Xu et al., 2018), we exclude the class Q, because such a class contains paced beats (that were excluded) and unclassifiable beats (only 15).

Table 1: Configuration parameters of *RENEE* used in the experimentation.

Parameter	Description	Value
σ	Power of Gaussian dithering	0.1
γ	Threshold for the quantization	0.2
<i>winLen</i>	Window size for <i>whbs</i>	20
<i>D</i>	Number of features	417

4.3 Threats to Validity

A limitation of this study may be represented by the validation. Even if our kind of validation takes care to appropriately separate the data of distinct subjects for the training and testing phases, a more appropriate validation would have been a typical LISO-CV (Leave 1 Subject Out Cross Validation). This implicates that the data related to an individual patient will be included once in the test data set and $n-1$ times in the training data set. However, we decided to adopt the validation method used in previous study to facilitate the comparison of the results achieved. The replication of the study on larger data sets and with different validation methods is part of the agenda of our future works.

5 ANALYSIS OF THE RESULTS

We report in Table 2 the accuracy of *RENEE* obtained by using the 10 top performing classifiers experimented in our study. The achieved results show that *RENEE* allows to keep a comparable overall accuracy in the classification of heartbeats when compared to the baseline, which, as previously mentioned, uses the uncompressed original ECG signal. Especially, the Random Forest, the Bagged Trees and the Medium Gaussian classifiers achieve the highest accuracy (between 0.93 and 0.94).

Table 2: Overall accuracy of *RENEE* by using the 10 top performing classifiers experimented in our study. At the bottom we also report the accuracy achieved by the approach proposed by (Xu et al., 2018).

Classifier	Accuracy
Random Forest	0.940
Bagged Trees	0.937
Medium Gaussian SVM	0.932
Boosted Trees	0.928
LSTM NN	0.928
Fine Gaussian SVM	0.926
Fine Tree	0.925
Quadratic SVM	0.922
JRip	0.919
Cubic SVM	0.912
Baseline (Xu et al., 2018)	0.947

Table 3 reports the detailed results achieved by *RENEE* when using the best performing classifier, *i.e.*, Random Forest. The results reported in Table 3 highlight a clear outcome: *RENEE* is able to correctly classify with a high accuracy the classes la-

beled as N and V . In details, *RENEE* has correctly classified respectively 43,978 out of 44,259 and 2,713 out of 3,221 total instances. For what concerns the class S , *RENEE* still needs to improve in terms of classification accuracy. The machine learning model with the highest classification accuracy specific for class S is the Quadratic SVM; such model was able to correctly classifies 128 instances out of approximately 1,800 total instances. Finally, for the F class, the results obtained in terms of Precision, Recall and F-Measure are not satisfying. It is worth noting, however, that the classification performance on these classes has a low impact on the overall performance. Indeed, as suggested by the standard ANSI/AAMI EC57 (ANSI/AAMI-EC57, 1998), it is recommended to focus the attention on the two majority arrhythmia classes, *i.e.*, classes S and V .

Table 3: Detailed classification evaluation of *RENEE* when using the best performing classifier, *i.e.*, Random Forest.

Class	Precision	Recall	F-Measure	AUC
N	0.945	0.994	0.969	0.966
S	0.375	0.016	0.031	0.565
V	0.907	0.842	0.873	0.987
F	0.074	0.018	0.029	0.812

Table 4 shows the MCC achieved by the two compared approaches for each class. The achieved results indicate that *RENEE* achieves similar results (even if it performs slightly better) compared to the baseline for the classes N and V . Indeed, for these classes, the difference in terms of MCC is below 0.05. The greatest delta has been obtained for the class S , which touches the amount of 0.6. Thus, further improvements are required for the classification in the compressed domain of this particular class.

Table 4: Comparison between *RENEE* (Random Forest) and the approach proposed by (Xu et al., 2018).

Class	(Xu et al., 2018)	<i>RENEE</i>	Delta
N	0.69	0.67	-0.02
S	0.67	0.07	-0.60
V	0.91	0.87	-0.04
F	0.22	0.03	-0.19

6 CONCLUSION

We have presented *RENEE*, an automatic approach for (i) compressing an ECG signal through 1-bit quantization and (ii) classifying the heartbeats in compressed ECGs using machine learning techniques. An

empirical evaluation conducted on the MIT-BIH Arrhythmia Database indicates that the overall classification accuracy of *RENEE* is comparable to the accuracy of the best state-of-the-art method for the classification of heartbeats based on uncompressed ECG signal (0.940 vs. 0.947).

Future work will be devoted to replicate the evaluation of *RENEE* by using more robust validation, such as the LISO-CV to corroborate our findings.

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